

CERTIFICATE OF EFS FILING

I hereby certify that this correspondence is being electronically transmitted to the United States Patent and Trademark Office, Commissioner for Patents, via the EFS on the below date:

Date: February 22, 2011

Name: Rickard K. DeMille, Reg. No. 58,471

Signature: /Rickard K. DeMille/

BRINKS
HOFER
GILSON
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Pascal J. Gauthereon et al.

Appln. No.: 10/562,185

Filed: February 1, 2007

For: SYSTEM AND METHOD OF INVESTING
FUNDS

Attorney Docket No.: 10022-802

Examiner: Donion, Ryan D.

Art Unit: 3695

Conf. No.: 3864

Mail Stop RCE
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CONTINUED EXAMINATION (37 CFR § 1.114)

Sir:

Applicant(s) requests continued examination of the above-identified application under 37 CFR §1.114.

☒ Submission under 37 CFR 1.114 (*check at least one of the following*):

☒ Previously submitted:

☐ Applicant(s) requests nonentry of any previously-filed unentered amendments.

☒ Please enter and consider the Amendment After Final Under 37 CFR §1.116 previously filed on January 24, 2011.

☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____.

☐ Other: _____.

☐ Attached is/are:

☐ An Information Disclosure Statement

☐ An Amendment to the written description, claims, or drawings

☐ New Arguments and/or New Evidence in support of Patentability

☐ Other: _____

☐ Request for suspension of action:

Applicant(s) hereby requests suspension of action on the above-identified application under 37 CFR §1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; requires Processing Fee under 37 CFR §1.17(i)).

☐ Small Entity Status:

☐ Applicant(s) hereby asserts entitlement to claim small entity status under 37 CFR §§ 1.9 and 1.27.

☐ A small entity statement or assertion of entitlement to claim small entity status was filed in prior application no. _____ / _____ and such status is still proper and desired.

☐ Is no longer desired.

☒ Applicant(s) calculate the following fees to be due in connection with this Request:

☒ A request fee of \$810 under 37 CFR §1.17(e).

☐ A suspension processing fee of \$_____ under 37 CFR §1.17(i).

☐ An additional filing fee of \$_____ under 37 CFR §1.16 (_____ additional independent claims and/or _____ additional total claims).

☐ An extension fee of \$_____ under 37 CFR §1.17(a) for a _____-month extension of time.

☒ Fee payment to cover the above-enumerated fee(s):

☒ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$810.

☐ A payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).

☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE).

Respectfully submitted,

February 22, 2011

Date

/Rickard K. DeMille/

Rickard K. DeMille (Reg. No. 58,471)